

08 March 2024

REF: RFB NO: 039/2023/EWSS/GRC/RFB

APPOINTMENT OF A SERVICE PROVIDER FOR THE PROVISION OF AN INTERGRATED GOVERNANCE, RISK AND COMPLIANCE (GRC) SERVICES FOR TCTA (TRANS CALEDON TUNNEL AUTHORITY) FOR A PERIOD OF FIVE YEARS.

CLARIFICATION NO 2:

Herewith Clarification No 2, which forms part of the above-mentioned tender.

Question 1

Will the Risk and Compliance Champions be responding to actions and findings only or will they assess risk and compliance requirements?

ANSWER to Question 1:

Compliance Champions coordinate compliance activities within their divisions and they assist with responding and or resolving compliance risks. The assessment of compliance risks is done by the Compliance Department together with the process owners which includes Compliance Champions providing inputs.

Only the owners of a particular action will be responding to actions.

Questions 2

Compliance Universe – will TCTA upload the Compliance Universe to the platform or will you require it done for you during the implementation stage?

ANSWER to Question 2:

The system must come with our full compliance universe loaded accompanied by the checklists for all the primary legislation prepopulated. – The loading of the compliance universe can be uploaded during go live by the implementer.

The winning bidder must further update the latest version of each legislation on the compliance universe when there are changes and send an updated version of the checklist for TCTA's review if the changes are on the primary legislation.

Yours faithfully

Azwi Nelwamondo

SENIOR MANAGER: PROCUREMENT