REQUEST FOR QUOTATION (RFQ)

RFQ NO: 130/2026/IA/IFS/RFQ

APPOINTMENT OF AN INDEPENDENT PROFESSIONAL SERVICE PROVIDER TO PERFORM A LIMITED-ASSURANCE INTERNAL AUDIT REVIEW OF TCTA INTERIM FINANCIAL STATEMENTS (IFS) FOR THE SIX-MONTH PERIOD ENDED 30 SEPTEMBER 2026

DESCRIPTION:	Appointment of an independent professional service provider to perform a limited-assurance internal audit review of TCTA Interim Financial Statements (IFS) for the six-month period ended 30 September 2026.
DURATION:	6 Months
PROPOSED BID PROCESS:	RFQ
DIVISION UNIT:	Internal Audit
ISSUE DATE:	6 November 2026
CLARIFICATIONS DEADLINE:	11 November 2026 @ 13:00 p.m.
CLOSING DATE:	13 November 2026 @ 11:00 a.m.
RFQ VALIDITY PERIOD	30 Days (from RFQ closing date)
SUBMISSION OF PROPOSALS:	tenders07@tcta.co.za
ENQUIRIES	tenders07@tcta.co.za

BACKGROUND

In terms of section 51(1)(a)(iii) of Public Finance Management Act (PFMA), an Accounting Authority for a public entity must ensure that the public entity has and maintains an appropriate procurement system which is fair, equitable, transparent, competitive and cost- effective.

TCTA seeks to appoint a suitably qualified, experienced and independent professional service provider to perform a limited-assurance internal audit review of its IFS for the six-month period ended 30 September 2026, following the direction of the Audit and Risk Committee at its special sitting on 20 October 2026 that Internal Audit review the IFS before submission to lenders, and a request received from the Finance Department. Management ad hoc requests are accommodated in the 2026/27 Internal Audit Plan approved by the Audit and Risk Committee. The need is driven by the increased financial-reporting risk arising from the

prior-year qualified audit outcome, material financial-statement misstatements, the recent transition to Generally Recognised Accounting Practice (GRAP), reliance on manual processes and constrained internal review capacity.

Under its loan covenants, TCTA must submit unaudited IFS to its lenders by 30 November 2026. This is the first year in which a full set of unaudited IFS is prepared for this purpose; in previous years, management accounts were submitted to lenders. The IFS will be finalised in the first week of January 2027, submitted to the Board in January 2027 and then subjected to the interim audit by the Auditor General South Africa (AGSA), which is scheduled to start in January 2027. The Executive Committee (EXCO) will provide input to the IFS, and the Audit and Risk Committee will consider the IFS and recommend it to the Board.

The TCTA Internal Audit (Requesting Division) is expected to provide limited assurance to Management and EXCO on TCTA's IFS before the unaudited IFS is submitted to lenders on 30 November 2026 and, thereafter, to the Board and the AGSA. The objective of an internal audit when providing limited assurance on interim financial statements is to evaluate the technical compliance thereof. Limited assurance, provided by internal audit, is unlike an external audit, which provides reasonable assurance in the form of an audit opinion. This engagement is performed under the Institute of Internal Auditors (IIA) Global Internal Audit Standards and the TCTA Internal Audit methodology; it is not an external audit, does not provide an audit opinion and does not constitute an ISRE 2400/2410 review conclusion. The resulting report is for TCTA's internal use; it is not addressed to, and may not be relied upon by, lenders or any other third party.

SCOPE OF WORK

DETAILED DESCRIPTION OF GOODS/SERVICES

Scope of work and measurable specifications

The scope of work includes the following:

1.1 Scope of Work

- Review the complete draft IFS, including the accompanying notes and accounting policies, for compliance with applicable GRAP presentation and disclosure requirements and approved TCTA accounting policies.
- Agree comparative figures to the audited prior-year annual financial statements, which were prepared under GRAP. As no interim financial statements were prepared for the six months ended 30 September 2025, confirm that the IFS clearly disclose the basis on which comparative information is presented.
- Agree the amounts disclosed in the IFS to the relevant lead and supporting schedules provided and approved by management.
- Verify the mathematical accuracy and casting of the IFS and accompanying disclosure notes.
- Review the accuracy and consistency of cross-referencing within the IFS.

- Perform a technical review of the disclosure of new GRAP standards, interpretations and directives, and other relevant changes in the applicable financial reporting framework, to the extent relevant to the interim reporting period.
- Perform a high-level review to determine whether relevant prior-year AGSA financial-statement presentation and disclosure findings have been addressed.
- Record identified errors, inconsistencies, disclosure issues and referencing matters in an issue log and communicate them to management for correction.
- Review the updated IFS to confirm that identified discrepancies have been appropriately addressed and that corrections have not adversely affected previously reviewed information.

1.2 Work Specification

The Interim Financial Statements review must be conducted in a structured approach from planning, execution and reporting stages in accordance with the IIA Global Internal Audit Standards and TCTA Internal Audit procedures. The service provider reports to the Chief Internal Auditor and must provide evidence-based conclusions to enable Internal Audit to provide limited assurance to Management and EXCO on the presentation, disclosure, mathematical accuracy, cross-referencing and internal consistency of the IFS, before the unaudited IFS is submitted to lenders on 30 November 2026 and, thereafter, to the governance structures and the AGSA. Finance management prepares and owns the IFS, approves journals and makes all accounting judgements and representations; the service provider reviews and reports exceptions only, and does not make accounting judgements or management decisions. The following are excluded from the scope:

- Preparation or ownership of the IFS, accounting policies, journals, reconciliations, lead schedules or management schedules.
- Substantive testing of transactions or detailed testing of underlying account balances, including cut-off, subsequent-payment and transaction-level procedures.
- Preparation or independent validation of valuations, actuarial calculations, tax computations or legal opinions.
- Fraud investigation, forensic procedures or detailed review of tariff models.
- Statutory external audit, an audit opinion, an independent review conclusion under ISRE 2400 or ISRE 2410, or assurance addressed to, or intended for reliance by, lenders or other third parties.
- Direct communication with lenders, AGSA or governance committees unless authorised in writing by the Chief Internal Auditor.

TCTA dependencies: At notice to proceed, Finance will provide a complete readiness pack comprising the controlled draft IFS, notes and accounting policies after Finance's internal review (including review by the Chief Financial Officer), the lead and supporting schedules approved by management, the completed

and signed-off GRAP disclosure checklist, the audited prior-year annual financial statements, prior-year AGSA reports and the audit-improvement plan, and access to the evidence repository. Finance will respond to evidence requests on the same working day during fieldwork. At inception, Finance and Internal Audit must agree and record the cut-offs for the corrected IFS, a single consolidated management response and consolidated factual comments on the draft report, allowing time for validation, quality review and final reporting by 29 November 2026. The lender submission date of 30 November 2026 is fixed by TCTA's loan covenants. Changes to reported numbers after the agreed corrected-IFS cut-off, other than the correction of agreed exceptions, will not have been reviewed and must be notified to Internal Audit immediately; any resulting re-review requires an approved timetable change and may affect the lender submission. Any TCTA-caused delay will be recorded and may require an approved timetable change. The workplan must explicitly address any weekend work and Finance availability needed to meet the final-report deadline.

1.3 Deliverables

- Inception meeting and information-request register (25 November 2026): confirmed scope, contacts, dependencies, data-access protocol and complete evidence request. Confirm and record the intermediate milestone dates and Finance response cut-offs within the 25 to 29 November 2026 review period.
- Engagement planning memorandum (date agreed at inception, before fieldwork): objectives, scope, procedures, resources, timetable, quality assurance and escalation, aligned to commencement on 25 November 2026 and final reporting by 29 November 2026.
- Daily issue log and progress update (25 to 29 November 2026): traceable evidence, clear owner, status, ageing and impact on completion.
- Draft findings and corrected-IFS validation schedule (date agreed at inception, before draft reporting): each exception linked to the relevant statement or note, supporting schedule, applicable GRAP/TCTA criteria, recommended correction and management response.
- Draft internal audit report and exit meeting (date agreed at inception, allowing time for factual comments and final quality review before 29 November 2026): report prepared for issue by Internal Audit under the authority of the Chief Internal Auditor, covering the executive summary, scope and limitations, work performed, findings and unresolved matters, with no audit opinion; disagreements and outstanding evidence recorded.
- Final report and indexed electronic working-paper file (29 November 2026): format approved by the Chief Internal Auditor, evidence cross-references, review notes cleared, quality-assurance sign-off, and editable and PDF deliverables. Submission by 29 November 2026 is subject to TCTA meeting the evidence and comment cut-offs agreed under TCTA dependencies in section 1.2, and allows Finance to finalise the IFS for submission to lenders by 30 November 2026.

1.4 Independence and objectivity of the Interim Financial Statements Review team

- In carrying out the work, service provider team members will be expected to conduct themselves in accordance with the principles of ethics and professionalism as prescribed by the IIA.
- The service provider and each team member must remain independent and objective. Conflicts must be disclosed on bid submission, before commencement and when circumstances change. TCTA may require safeguards, replacement or termination where independence is impaired.
- The service provider shall not prepare or approve the IFS, post journals, authorise transactions, select accounting policies, make management representations or take decisions reserved for TCTA management.

1.5 Relevant Legislation

- Public Finance Management Act (PFMA), 1999 (Act No. 1 of 1999) (as amended by Act No. 29 of 1999).
- National Treasury Regulations and Instruction Notes.
- Preferential Procurement Policy Framework Act 5 of 2000.
- Preferential Procurement Regulations, 2022.
- Protection of Personal Information Act 4 of 2013 (POPIA).
- Generally Recognised Accounting Practice (GRAP).

COMPANY EXPERIENCE REQUIRED

The bidder must demonstrate a proven track record in the review and/or audit of financial statements prepared under GRAP in the public sector. For this RFQ, relevant experience means performing review and/or audit procedures over GRAP financial statements. Experience limited to compiling or preparing financial statements, without performing a review or audit, does not meet this requirement. Bidders must evidence at least three (3) completed public-sector GRAP financial-statement review/audit assignments performed within the five (5) years preceding the RFQ closing date.

Evidence rule: Bidders may submit either (a) a fully completed and signed Form A, or (b) signed client reference letters. A bidder is not required to submit both forms of evidence for the same project. TCTA may verify any evidence submitted as part of due diligence before award.

Form A must capture, at minimum: client organization, project description and scope, contract start date, completion date, contract/reference number where available, and contactable client representative. Only completed assignments will be accepted. Reference letters must be on client letterhead, signed, and contain sufficient information to verify scope and delivery period.

PERSONNEL EXPERIENCE REQUIRED

The bidder must submit detailed CVs and qualifications of the following Core Team Members:

For personnel requirements and functionality scoring, relevant GRAP financial-statement experience means review and/or audit experience; either qualifying review or audit experience is acceptable, and both are not required. Compilation-only experience does not qualify. CVs must identify the relevant periods, entities and roles.

Role	Minimum relevant experience	Evidence
Project Leader	At least ten (10) years' relevant experience, of which at least five (5) years leading public-sector GRAP financial-statement review and/or audit work. Current CA(SA) registration.	Comprehensive CV detailing relevant experience, including the periods, entities and roles in leading the review and/or audit of public-sector GRAP financial statements, and proof of current CA(SA) registration with the South African Institute of Chartered Accountants (SAICA).
Senior Manager / Manager	Five (5) years' working experience in the review and/or audit of GRAP financial statements. Honours Degree (NQF Level 8) in the field of Accounting or Auditing.	Comprehensive CV detailing the periods, entities and roles in the review and/or audit of GRAP financial statements, and a certified copy of the Honours degree.
Core Team Members (x2)	Each: three (3) years' experience in the review and/or audit of GRAP financial statements. Bachelor's degree (NQF Level 7) in the field of Accounting or Auditing.	Comprehensive CV for each Core Team Member detailing the periods, entities and roles in the review and/or audit of GRAP financial statements, and a certified copy of each member's degree.

NB: FOR EVALUATION PURPOSES, TCTA ONLY REQUIRES FOUR (4) CVS OF KEY RESOURCES NAMELY: PROJECT LEADER, SENIOR MANAGER/MANAGER AND TWO CORE TEAM MEMBERS. THEREFORE, BIDDERS ARE REQUIRED TO SUBMIT ONLY FOUR (4) CVS AND CLEARLY INDICATE THE DESIGNATIONS OF THE PROPOSED RESOURCES AS STIPULATED ABOVE.

DELIVERABLES

Deliverables are as follows:

- Inception meeting and information-request register (25 November 2026): confirmed scope, contacts, dependencies, data-access protocol and complete evidence request. Confirm and

record the intermediate milestone dates and Finance response cut-offs within the 25 to 29 November 2026 review period.

- Engagement planning memorandum (date agreed at inception, before fieldwork): objectives, scope, procedures, resources, timetable, quality assurance and escalation, aligned to commencement on 25 November 2026 and final reporting by 29 November 2026.
- Daily issue log and progress update (25 to 29 November 2026): traceable evidence, clear owner, status, ageing and impact on completion.
- Draft findings and corrected-IFS validation schedule (date agreed at inception, before draft reporting): each exception linked to the relevant statement or note, supporting schedule, applicable GRAP/TCTA criteria, recommended correction and management response.
- Draft internal audit report and exit meeting (date agreed at inception, allowing time for factual comments and final quality review before 29 November 2026): report prepared for issue by Internal Audit under the authority of the Chief Internal Auditor, covering the executive summary, scope and limitations, work performed, findings and unresolved matters, with no audit opinion; disagreements and outstanding evidence recorded.
- Final report and indexed electronic working-paper file (29 November 2026): format approved by the Chief Internal Auditor, evidence cross-references, review notes cleared, quality-assurance sign-off, and editable and PDF deliverables. Submission by 29 November 2026 is subject to TCTA meeting the evidence and comment cut-offs agreed under TCTA dependencies in section 1.2, and allows Finance to finalise the IFS for submission to lenders by 30 November 2026.

RETURNABLES	
MANDATORY	**NON-MANDATORY**
<u>Company Experience Required</u> **The bidder must evidence at least three (3) completed public-sector GRAP financial-statement review/audit assignments performed within the five (5) years preceding the RFQ closing date as a mandatory minimum requirement**. (Evidence may be either a fully completed and signed **Form A** or signed client reference letters on the client's letterhead, with contactable details, scope of work and delivery period. TCTA may verify evidence. Additional compliant assignments will be scored under functionality.)	SCM Bidding Forms: SBD1, SBD 4 & SBD 6.1 must be fully completed and signed, together with the letter of authority or board resolution for the authorised representative.
<u>Resource-availability confirmation</u> Signed resource-availability confirmation for the Project Leader, Senior Manager / Manager, both Core Team Members and the independent quality reviewer, confirming availability from the date in the written notice to proceed (planned for 25 November 2026) through final reporting on 29 November 2026, including weekend availability where required. Detailed daily sequencing and intermediate cut-offs will be agreed with the	A valid BBBEE Certificate issued by a Verification Agency Accredited by SANAS, or a Sworn Affidavit for EME and QSE. Consolidated BBBEE for Joint Venture tenderers issued by Agency Accredited by SANAS, or a Sworn Affidavit for EME and QSE **(Refer to Annexure E and F). Bidders must complete one of the Sworn Affidavit applicable to their company (EME/QSE).**

successful bidder at inception. Failure to confirm availability for the fixed delivery window will render the bid non-responsive because the lender deadline cannot be deferred.	**No points will be awarded if the bidders' Sworn Affidavit does not comply with the minimum requirements for Valid Sworn Affidavits outlined in ANNEXURE A**.
Financial Proposal Fully Completed and signed pricing schedule (Tables 1 and 2) with a firm fixed fee for the complete assignment.	CSD Registration Report
	Key Personnel Experience & Qualifications Required **X1 Project Leader** • A comprehensive CV of the Project Leader. • Proof of current CA(SA) registration with SAICA. **X1 Senior Manager / Manager** • A comprehensive CV of the Senior Manager / Manager. • Certified copy of the Honours Degree (NQF Level 8) in the field of Accounting or Auditing. **X2 Core Team Members** • A comprehensive CV of each Core Team Member. • Certified copy of each member's Bachelor's degree (NQF Level 7) in the field of Accounting or Auditing.

BIDDERS WHO FAIL TO SUBMIT ANY MANDATORY REQUIREMENTS WILL NOT BE CONSIDERED FOR FURTHER EVALUATION.

FUNCTIONAL CRITERIA

CRITERION	SUB-CRITERION AND SCORING METHODOLOGY	MAXIMUM POINTS
Firm Relevant Experience	• Only bidders that meet the mandatory minimum of three compliant assignments proceed to functionality evaluation. Among those responsive bidders: 3 compliant assignments **= 10 points**; 4 **= 15 points**; 5 or more **= 20 points**. • An additional **5 points** where at least two assignments involved a Schedule 2/3 PFMA public entity and a complete GRAP financial-statement set.	**25**
Methodology and Technical Approach	• Scored out of 20 on five elements of 4 points each. An element scores 4 points where the proposal expressly states the procedures to be performed, the evidence to be examined and the output produced for that element; otherwise it scores **0 points**. • Element 1: review of the draft IFS, notes and accounting policies for GRAP presentation and disclosure compliance, including disclosure of new GRAP standards, interpretations and directives **= 4 points**, No procedure stated **= 0 points** • Element 2: agreement of comparative figures to the audited prior-year annual financial statements and of IFS amounts to the lead and supporting schedules **= 4 points.** • Element 3: verification of mathematical accuracy, casting and cross-referencing of the IFS and notes **= 4 points**, No procedure stated **= 0 points**	**20**

	• Element 4: follow-up of prior-year AGSA financial-statement presentation and disclosure findings **= 4 points**, No procedure stated **= 0 points** • Element 5: issue log, version control and validation of corrected IFS versions **= 4 points**, No procedure stated **= 0 points**	
Project Leader Capability	A Comprehensive CV detailing 15 years or more of relevant experience, including the periods, entities and roles in leading the review and/or audit of public-sector GRAP financial statements, and proof of current CA(SA) registration with the South African Institute of Chartered Accountants (SAICA) **= 15 points** A Comprehensive CV detailing 13-14 years of relevant experience, including the periods, entities and roles in leading the review and/or audit of public-sector GRAP financial statements, and proof of current CA(SA) registration with the South African Institute of Chartered Accountants (SAICA) **= 13 points** A Comprehensive CV detailing 11-12 years of relevant experience, including the periods, entities and roles in leading the review and/or audit of public-sector GRAP financial statements, and proof of current CA(SA) registration with the South African Institute of Chartered Accountants (SAICA) **= 11 points** A Comprehensive CV detailing 10 years of relevant experience, including the periods, entities and roles in leading the review and/or audit of public-sector GRAP financial statements, and proof of current CA(SA) registration with the South African Institute of Chartered Accountants (SAICA) **= 10 points** No CV submitted or a CV detailing less than 10 years of relevant experience, including the periods, entities and roles in leading the review and/or audit of public-sector GRAP financial statements, and proof of current CA(SA) registration with the South African Institute of Chartered Accountants (SAICA) **= 0 points**	**15**
Manager and Team Capability	**Senior Manager** Comprehensive CV demonstrating 5 years' experience in the review and/or audit of GRAP financial statements, detailing the periods, entities and roles, and a certified copy of the Honours degree **= 5 points** Comprehensive CV demonstrating 6-7 years' experience in the review and/or audit of GRAP financial statements, detailing the periods, entities and roles, and a certified copy of the Honours degree **= 7 points** Comprehensive CV demonstrating 8 years' experience or more in the review and/or audit of GRAP financial statements, detailing the periods, entities and roles, and a certified copy of the Honours degree **= 9 points** **Two core Team Members** Award one combined Core Team score, capped at 6 points; do not score the members separately or add two individual scores. Each of the two named Core Team Members must evidence at least three years of relevant experience and a Bachelor's degree (NQF Level 7) in Accounting or Auditing. Add the two members' evidenced relevant experience in the	**15**

	review and/or audit of GRAP financial statements. Count overlapping assignments only once within each member's experience; concurrent experience of different members may be added. Both CVs must state the periods, entities and roles, with certified copies of both degrees. If either member's minimum experience or qualification is not evidenced, or either CV is missing, award 0 points for the Core Team component. Otherwise apply the following combined-experience bands: Combined relevant experience of at least 6 years but less than 8 years **= 3 points** Combined relevant experience of at least 8 years but less than 10 years **= 4 points**. Combined relevant experience of 10 years or more **= 6 points**	
Workplan, Availability and Resource Allocation	• Scored out of 15 on three elements of 5 points each. An element scores 5 points where it is expressly included in the proposal; otherwise it scores **0**. • Element 1: a credible high-level workplan covering 25 to 29 November 2026 that shows the activities, proposed intermediate milestones and final reporting by 29 November 2026 in accordance with section 1.3. Detailed daily sequencing and intermediate cut-offs will be finalised at inception **= 5 points**, No credible high-level workplan stated **= 0 points** • • Element 2: named resources (Project Leader, Senior Manager / Manager and both Core Team Members) with hours allocated per resource, consistent with Table 3 **= 5 points**, No named resources stated **= 0 points** • Element 3: stated assumptions on TCTA dependencies and evidence-response times, aligned to the cut-off requirements in section 1.2, and confirmation of availability from 25 November 2026 through final reporting on 29 November 2026, including any weekend work required **= 5 points**, No assumptions and dependencies stated **= 0 points**	**15**
Quality Assurance and Reporting	• Scored out of 10 on three elements. An element scores its points where it is expressly included in the proposal; otherwise it scores **0**. • Element 1: independent quality review by a named reviewer who is not involved in day-to-day fieldwork, with the review points in the engagement stated **= 4 points**, No independent quality review by a named resource **= 0 points** • • Element 2: findings protocol covering the issue log, management responses and exit meeting, as set out in section 1.3 **= 3 points**, No findings protocol **= 0 points** • • Element 3: indexed working-paper file with evidence cross-references and final file handover **= 3 points**, No indexed working paper file **= 0 points**	**10**
Total		**100**
BIDDERS MUST OBTAIN A MINIMUM OF 60 POINTS OUT OF 100 POINTS TO QUALIFY FOR EVALUATION ON PRICE AND SPECIFIC GOALS. BIDDERS THAT SCORE LESS THAN 60 POINTS FOR FUNCTIONALITY WILL BE DISQUALIFIED AT THIS STAGE.		

SPECIFIC GOALS

The below table will be used to calculate the score out of 20 for preference points:

B-BBEE Status Level of Contributor	Number of Points
1	20
2	18
3	14
4	12
5	8
6	6
7	4
8	2
Non-compliant contributor	0

Any bidder who fails to meet the specific goals will not be disqualified from the process and will score 0 for Specific Goals. NB - Bidders must submit valid certified copies of their B-BBEE Certificates/Sworn Affidavits which stipulates their B-BBEE Status Level of Contributor to claim preference points. Furthermore, no points will be awarded if the bidders' Sworn Affidavit does not comply with the requirements outlined in ANNEXURE A. Where a B-BBEE Certificate is issued by a withdrawn verification agency, no points will be awarded as the B-BBEE Certificate will be deemed invalid.

Preferential Points Calculation - The weighting of the Preferential points calculation is as follows:

Price = 80

Specific Goals = 20

Total Score = 100

TERMS AND CONDITIONS

TCTA'S Standard Conditions of Bid shall apply to this bid. TCTA reserves the right to cancel or not to award this bid in accordance with its standard Conditions of Bid.

ANNEXURES

A	B-BBBEE SWORN AFFIDAVIT REQUIREMENTS FOR EME AND QSE
B	SBD 1 – INVITATION TO BID
C	SBD 4 – BIDDERS DISCLOSURE
D	SBD 6.1 – IN TERMS OF PPR 2022
E	SWORN AFFIDAVIT – B-BBEE EXEMPTED MICRO ENTERPRISE
F	SWORN AFFIDAVIT – B-BBEE QUALIFYING SMALL ENTERPRISE

FINANCIAL PROPOSAL

APPOINTMENT OF AN INDEPENDENT PROFESSIONAL SERVICE PROVIDER TO PERFORM A LIMITED-ASSURANCE INTERNAL AUDIT REVIEW OF TCTA INTERIM FINANCIAL STATEMENTS (IFS) FOR THE SIX-MONTH PERIOD ENDED 30 SEPTEMBER 2026

Bidders must price as per the below pricing schedule:

NB:

- Bidders must submit a firm fixed fee for the complete assignment, inclusive of all professional fees, quality review, administration, travel, accommodation, data, printing and incidental expenses.
- No disbursement may be charged separately. Payment follows written acceptance of each substantive milestone.
- The inception meeting, information-request register and daily issue log are engagement-management deliverables and do not trigger separate payment.
- Price will be evaluated on the total bid price including VAT for VAT-registered bidders, and on the total price for bidders that are not VAT-registered.
- Prices must remain valid for the bid validity period as stated in the RFQ.
- The price must be firm and unconditional.

Table 1: Pricing Schedule

No	Milestone	% of fixed fee	Total Cost (Excluding VAT)
1	Planning memorandum accepted on the date agreed at inception, before fieldwork, within the 25 to 29 November 2026 review period	15%	R
2	Fieldwork completed; draft findings and corrected-IFS validation schedule accepted on the date agreed at inception, before draft reporting	45%	R
3	Draft internal audit report accepted and exit meeting held on the date agreed at inception, allowing time for factual comments and final quality review before 29 November 2026	20%	R
4	Final report and indexed working-paper handover accepted by 29 November 2026	20%	R
SUB-TOTAL (FIXED FEE)		**100%**	**R**
VAT@15%			**R**
TOTAL BID PRICE INCLUDING VAT			**R**

Table 2: Resource and Rate Disclosure (not scored)				
Resource	**Name**	**Hours**	**Hourly Rate (Excluding VAT)**	**Extended Cost (Excluding VAT)**
Project Leader			R	R
Senior Manager / Manager			R	R
Core Team Member 1			R	R
Core Team Member 2			R	R
Independent quality reviewer (may be the Project Leader if not involved in day-to-day fieldwork)			R	R
TOTAL				**R**

NB:

Hours and hourly rates are disclosed for reasonableness and change control only and are not evaluated. The Table 2 total (excluding VAT) must equal the fixed fee sub-total in Table 1. The fixed fee in Table 1 prevails for the agreed scope; the disclosed hourly rates will apply only to scope changes approved in writing under the applicable delegation.

FORM A: TEMPLATE FOR BIDDERS EXPERIENCE

Instruction To bidders

a) Bidders are to list at least three (3) of their client references below within the past 5 years. No points will be allocated for less than 3 references

b) The form must be completed in full and signed; no points will be allocated for an incomplete or a partially completed form. Refer to the example below for a fully completed form.

Item	Client Name	Project description: GRAP financial-statement review/audit assignments performed within the past five (5) years	Contract start date (dd/mm/yy)	Contract completion date (dd/mm/yy)	Contact person	Contact number	Email address
E.g	ABC (Pty) Ltd	Provision of GRAP financial-statement review/audit	1 June 2025	31 July 2026	John Cole	012 111 111	john@abc.com
1							
2							
3							
4							
5							
6							

*By signing below, the bidder confirms that the information above is true and correct.

_____

Name and Surname:
Date:

ANNEXURE A: B-BBEE SWORN AFFIDAVIT REQUIREMENTS FOR EME AND QSE

The following information is required for the Sworn Affidavits to be valid: -

1. Name/s of deponent as they appear in the identity document and the identity number;

2. Designation of the deponent as either the director, owner or member must be indicated in order to know that person is duly authorised to depose of an affidavit;

3. Name of enterprise as per enterprise registration documents issued by the CIPC, where applicable, and enterprise business address.;

4. Percentage of black ownership, black female ownership and designated group. In the case of specialised enterprises as per Statement 004, the percentage of black beneficiaries must be reflected;

5. Indicate total revenue for the latest financial year and whether it is based on audited financial statements or management accounts;

6. Full financial year end as per the enterprise's registration documents, which was used to determine the total revenue. Example 28 February 2022;

7. B-BBEE Status level. An enterprise can only have one status level;

8. Nature of business;

9. VAT Number;

10. Date deponent signed and date of Commissioner of Oath must be the same;

11. Commissioner of Oath cannot be an employee or ex officio of the enterprise because, a person cannot by law, commission a sworn affidavit in which they have an interest;

12. Correct Sector Codes Affidavit to be used.

ANNEXURE B **SBD 1**

PART A
INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE (*NAME OF DEPARTMENT/ PUBLIC ENTITY*)					
BID NUMBER:	**130/2026/IA/IFS/RFQ**	CLOSING DATE:	**13 NOVEMBER 2026**	CLOSING TIME:	**11: 00 A.M.**
DESCRIPTION	**APPOINTMENT OF AN INDEPENDENT PROFESSIONAL SERVICE PROVIDER TO PERFORM A LIMITED-ASSURANCE INTERNAL AUDIT REVIEW OF TCTA INTERIM FINANCIAL STATEMENTS (IFS) FOR THE SIX-MONTH PERIOD ENDED 30 SEPTEMBER 2026**				

BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT *(STREET ADDRESS)*
tenders07@tcta.co.za

BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO		TECHNICAL ENQUIRIES MAY BE DIRECTED TO:	
CONTACT PERSON	**RECEIVING OFFICER**	CONTACT PERSON	**RECEIVING OFFICER**
TELEPHONE NUMBER	**N/A**	TELEPHONE NUMBER	**N/A**
FACSIMILE NUMBER	**N/A**	FACSIMILE NUMBER	**N/A**
E-MAIL ADDRESS	tenders07@tcta.co.za	E-MAIL ADDRESS	tenders07@tcta.co.za

SUPPLIER INFORMATION					
NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		**OR**	CENTRAL SUPPLIER DATABASE No:	MAAA
1. ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES OFFERED?	☐Yes ☐No [IF YES ENCLOSE PROOF]		**2.** ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES OFFERED?	☐Yes ☐No [IF YES, ANSWER THE QUESTIONNAIRE BELOW]	

QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS

IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?	☐ YES ☐ NO
DOES THE ENTITY HAVE A BRANCH IN THE RSA?	☐ YES ☐ NO
DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?	☐ YES ☐ NO
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?	☐ YES ☐ NO
IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?	☐ YES ☐ NO

IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.

PART B

TERMS AND CONDITIONS FOR BIDDING

3.	**BID SUBMISSION:**
1.1.	BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
1.2.	**ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED (NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.**
1.3.	THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.
1.4.	**THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).**

4.	**TAX COMPLIANCE REQUIREMENTS**
2.1	BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
2.2	BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
2.3	APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
2.4	BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
2.5	IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED; EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
2.6	WHERE NO TCS PIN IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
2.7	NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE."

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER: ……

CAPACITY UNDER WHICH THIS BID IS SIGNED: ……
(Proof of authority must be submitted e.g. company resolution)

DATE: ……

ANNEXURE C **SBD 4**

BIDDER'S DISCLOSURE

1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2. Bidder's declaration

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest[1] in the enterprise, employed by the state? **YES/NO**

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:

……

……

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this

---

1 the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

contract? **YES/NO**

2.3.1 If so, furnish particulars:
……
……

3 DECLARATION

I, the undersigned, (name)……in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

3.1 I have read and I understand the contents of this disclosure;
3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium2 will not be construed as collusive bidding.
3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

3.5 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

2 Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.
I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

…… ……

Signature Date

…… ……

Position Name of bidder

ANNEXURE D **SBD 6.1**

PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022

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1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included);

1.2 **To be completed by the organ of state**

a) The applicable preference point system for this tender is the 80/20 preference point system.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

(a) Price; and

(b) Specific Goals.

1.4 **To be completed by the organ of state:**

The maximum points for this tender are allocated as follows:

	POINTS
PRICE	**80**
SPECIFIC GOALS	**20**
Total points for Price and SPECIFIC GOALS	**100**

1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.

1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

2. DEFINITIONS

(a) **"tender"** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;

(b) **"price"** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;

(c) **"rand value"** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;

(d) **"tender for income-generating contracts"** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and

(e) **"the Act"** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

3.1. POINTS AWARDED FOR PRICE

3.1.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

80/20 **or** **90/10**

$$Ps = 80\left(1 - \frac{Pt - P\,min}{P\,min}\right) \text{ or } \qquad Ps = 90\left(1 - \frac{Pt - P\,min}{P\,min}\right)$$

Where

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmin = Price of lowest acceptable tender

3.2. FORMULAE FOR DISPOSAL OR LEASING OF STATE ASSETS AND INCOME GENERATING PROCUREMENT

3.2.1. POINTS AWARDED FOR PRICE

A maximum of 80 or 90 points is allocated for price on the following basis:

80/20 **or** **90/10**

$$Ps = 80\left(1 + \frac{Pt - P\,max}{P\,max}\right) \text{ or } \qquad Ps = 90\left(1 + \frac{Pt - P\,max}{Pmax}\right)$$

Where

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmax = Price of highest acceptable tender

4. POINTS AWARDED FOR SPECIFIC GOALS

4.1. In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/ documentation stated in the conditions of this tender:

4.2. In cases where organs of state intend to use Regulation 3(2) of the Regulations, which states that, if it is unclear whether the 80/20 or 90/10 preference point system applies, an organ of state must, in the tender documents, stipulate in the case of—

(a) an invitation for tender for income-generating contracts, that either the 80/20 or 90/10 preference point system will apply and that the highest acceptable tender will be used to determine the applicable preference point system; or

(b) any other invitation for tender, that either the 80/20 or 90/10 preference point system will apply and that the lowest acceptable tender will be used to determine the applicable preference point system,

then the organ of state must indicate the points allocated for specific goals for both the 90/10 and 80/20 preference point system.

Table 1: Specific goals for the tender and points claimed are indicated per the table below.

(Note to organs of state: Where either the 90/10 or 80/20 preference point system is applicable, corresponding points must also be indicated as such.

***Note to tenderers: The tenderer must indicate how they claim points for each preference point system.*)**

CRITERIA OF SPECIFIC GOALS	Number of points allocated (80/20 system) (To be completed by the organ of state)	Number of points claimed (80/20 system) (To be completed by the tenderer)
BBBEE-LEVEL		
1	20	
2	18	

3	14	
4	12	
5	8	
6	6	
7	4	
8	2	
Non-compliant contributor	0	

DECLARATION WITH REGARD TO COMPANY/FIRM

4.3. Name of company/firm…….

4.4. Company registration number: ……

4.5. TYPE OF COMPANY/ FIRM

- □ Partnership/Joint Venture / Consortium
- □ One-person business/sole propriety
- □ Close corporation
- □ Public Company
- □ Personal Liability Company
- □ (Pty) Limited
- □ Non-Profit Company
- □ State Owned Company

[TICK APPLICABLE BOX]

4.6. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

i) The information furnished is true and correct;

ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;

iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;

iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –

(a) disqualify the person from the tendering process;

(b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;

(c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;

(d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and

(e) forward the matter for criminal prosecution, if deemed necessary.

…… **SIGNATURE(S) OF TENDERER(S)**
SURNAME AND NAME: ……
DATE: ……
ADDRESS: ……
……
……

ANNEXURE E

SWORN AFFIDAVIT – B-BBEE EXEMPTED MICRO ENTERPRISE - GENERAL

I, the undersigned,

Full name & Surname	
Identity number	

Hereby declare under oath as follows:

1. The contents of this statement are to the best of my knowledge a true reflection of the facts.

2. I am a Member / Director / Owner (**Select one**) of the following enterprise and am duly authorised to act on its behalf:

Enterprise Name:	
Trading Name (If Applicable):	
Registration Number:	
Vat Number (If applicable)	
Enterprise Physical Address:	
Type of Entity (CC, (Pty) Ltd, Sole Prop etc.):	
Nature of Business:	
Definition of "Black People"	As per the Broad-Based Black Economic Empowerment Act 53 of 2003 as Amended by Act No 46 of 2013 "Black People" is a generic term which means Africans, Coloureds and Indians – (a) who are citizens of the Republic of South Africa by birth or descent; or (b) who became citizens of the Republic of South Africa by naturalisation- i. before 27 April 1994; or ii. on or after 27 April 1994 and who would have been entitled to acquire citizenship by naturalization prior to that date;"
Definition of "Black Designated Groups"	"Black Designated Groups means: (a)unemployed black people not attending and not required by law to attend an educational institution and not awaiting admission to an educational institution; (b) Black people who are youth as defined in the National Youth Commission Act of 1996; (c) Black people who are persons with disabilities as defined in the Code of Good Practice on employment of people with disabilities issued under the Employment Equity Act; (d) Black people living in rural and underdeveloped areas; (e) Black military veterans who qualify to be called a military veteran in terms of the Military Veterans Act 18 of 2011;"

3. I hereby declare under Oath that:

- The Enterprise is _____% Black Owned using the flow-through principle as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9
(1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- The Enterprise is _____% Black Female Owned as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- The Enterprise is _____% Black Designated Group Owned as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- Black Designated Group Owned % Breakdown as per the definition stated above:
    - Black Youth % = _____%
    - Black Disabled % =_____%
    - Black Unemployed % =_____%
    - Black People living in Rural areas % = _____%
    - Black Military Veterans % =_____%

- Based on the Audited Financial Statements/Financial Statements and other information available on the latest financial year-end of _____ (DD/MM/YYYY), the annual Total Revenue was R10,000,000.00 (Ten Million Rands) or less
- Please Confirm on the below table the B-BBEE Level Contributor, **by ticking the applicable box.**

100% Black Owned	**Level One** (135% B-BBEE procurement recognition level)	
At least 51% Black Owned	**Level Two** (125% B-BBEE procurement recognition level)	
Less than 51% Black Owned	**Level Four** (100% B-BBEE procurement recognition level)	

4. I know and understand the contents of this affidavit and I have no objection to take the prescribed oath and consider the oath binding on my conscience and on the Owners of the Enterprise which I represent in this matter.

5. The sworn affidavit will be valid for a period of 12 months from the date signed by commissioner.

Deponent Signature: _____

Date: _____

_____

Commissioner of Oaths
Signature & stamp_____
Date_____

ANNEXURE F

SWORN AFFIDAVIT – B-BBEE QUALIFYING SMALL ENTERPRISE - GENERAL

I, the undersigned,

Full name & Surname	
Identity number	

Hereby declare under oath as follows:

1. The contents of this statement are to the best of my knowledge a true reflection of the facts.

2. I am a Member / Director / Owner (**Select one**) of the following enterprise and am duly authorised to act on its behalf:

Enterprise Name:	
Trading Name (If Applicable):	
Registration Number:	
Vat Number (If applicable)	
Enterprise Physical Address:	
Type of Entity (CC, (Pty) Ltd, Sole Prop etc.):	
Nature of Business:	
Definition of "Black People"	As per the Broad-Based Black Economic Empowerment Act 53 of 2003 as Amended by Act No 46 of 2013 "Black People" is a generic term which means Africans, Coloureds and Indians – (a) who are citizens of the Republic of South Africa by birth or descent; or (b) who became citizens of the Republic of South Africa by naturalisation- i. before 27 April 1994; or ii. on or after 27 April 1994 and who would have been entitled to acquire citizenship by naturalization prior to that date;"
Definition of "Black Designated Groups"	"Black Designated Groups means: (a)unemployed black people not attending and not required by law to attend an educational institution and not awaiting admission to an educational institution; (b) Black people who are youth as defined in the National Youth Commission Act of 1996; (c) Black people who are persons with disabilities as defined in the Code of Good Practice on employment of people with disabilities issued under the Employment Equity Act; (d) Black people living in rural and underdeveloped areas; (e) Black military veterans who qualify to be called a military veteran in terms of the Military Veterans Act 18 of 2011;"

3. I hereby declare under Oath that:

- The Enterprise is _____% Black Owned using the flow-through principle as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9
(1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- The Enterprise is _____% Black Female Owned as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- The Enterprise is _____% Black Designated Group Owned as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- Black Designated Group Owned % Breakdown as per the definition stated above:
    - Black Youth % = _____%
    - Black Disabled % =_____%
    - Black Unemployed % =_____%
    - Black People living in Rural areas % = _____%
    - Black Military Veterans % =_____%

- Based on the Audited Financial Statements/ Financial Statements and other information available on the latest financial year-end of _____ (DD/MM/YYYY), the annual Total Revenue was between R10,000,000.00 (Ten Million Rands) and R50,000,000.00 (Fifty Million Rands),

6. Please confirm on the table below the B-BBEE level contributor, **by ticking the applicable box.**

100% Black Owned	**Level One** (135% B-BBEE procurement recognition level)	
At Least 51% black owned	**Level Two** (125% B-BBEE procurement recognition level)	

4. I know and understand the contents of this affidavit and I have no objection to take the prescribed oath and consider the oath binding on my conscience and on the owners of the enterprise which I represent in this matter.
5. The sworn affidavit will be valid for a period of 12 months from the date signed by commissioner.

Deponent Signature: _____

Date:_____

_____

Commissioner of Oaths
Signature & stamp
Date: